



**Annual Secretarial Compliance Report of**  
**NATCO PHARMA LIMITED**  
**for the year ended 31<sup>st</sup> March, 2022**

*(Pursuant to Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019 issued by SEBI)*

We, **BK & Associates**, Company Secretaries, Hyderabad have examined:

- all the documents and records made available to us and explanation provided by **Natco Pharma Limited**, CIN: L24230TG1981PLC003201 ("listed entity").
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended **31<sup>st</sup> March, 2022** ("Review Period") in respect of compliance with the provisions of:

- the Securities Exchange Board of India Act, 1992, ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA") rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not applicable during the FY 2021-22.**



- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not applicable during the FY 2021-22.**
- e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not applicable during the FY 2021-22.**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not applicable during the FY 2021-22.**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) SEBI (Delisting of Equity Shares) Regulations, 2021. **Not applicable during the FY 2021-22.**
- j) SEBI (Issue and Listing of Non-Convertible Securities) Regulations, 2021. **Not applicable during the FY 2021-22.**
- k) Other Rules/Regulations and circulars/guidelines issued thereunder applicable to the listed entity –
- Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
  - Clause 6A and 6B of SEBI Circular - CIR/CFD/CMD1/114/2019 dated October 18, 2019- **Not Applicable during the FY 2021-22;**
  - SEBI Circular regarding 'Fund raising by issuance of Debt Securities by Large Entities' - SEBI/HO/DDHS/CIR/P/2018/144 dated November 26, 2018- **Not Applicable during the FY 2021-22;**

and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with all the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:

Sr. No	Compliance Requirement (Regulations/ circulars /	Deviations	Observations/ Remarks of the
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	guidelines including specific clause)		Practicing Company Secretary
1	Regulation 18(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	With respect to Corporate Governance Report submitted by the Company-Gap between two consecutive Audit Committee Meetings is more than 120 days for quarter ended June 30, 2021.	System generated mail received from NSE and Company gave a prompt reply with explanation, No further action taken or correspondence received from NSE till date in this regard

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter and debarment etc.	Observations/ remarks of the Practicing Company Secretary, if any
1.	NIL	Not applicable	Not applicable	Not applicable

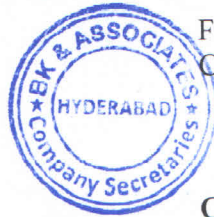
- (d) The listed entity has taken the following actions to comply with the observations made in previous reports: **Not applicable during FY 2021-22.**

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity



	NIL	Not applicable	Not applicable	Not applicable
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Place: Hyderabad  
Date: 17.05.2022



For **BK & Associates**  
Company Secretaries

A handwritten signature in blue ink, appearing to read "Kiran".

**CS Kiran Kumar Bodla**  
Proprietor  
FCS No.: F11093  
C P No.: 15876  
PR No.: 717/2020  
UDIN: F011093D000333954